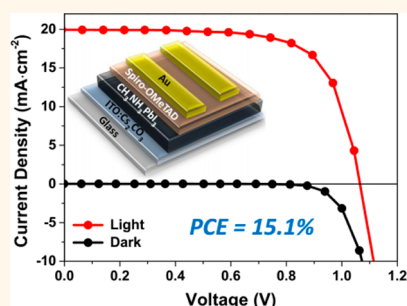


Engineering of Electron-Selective Contact for Perovskite Solar Cells with Efficiency Exceeding 15%

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ABSTRACT The past 5 years have witnessed the rise of highly efficient organometal halide perovskite-based solar cells. In conventional perovskite solar cells, compact n-type metal oxide film is always required as a blocking layer on the transparent conducting oxide (TCO) substrate for efficient electron-selective contact. In this work, an interface engineering approach is demonstrated to avoid the deposition of compact n-type metal oxide blocking film. Alkali salt solution was used to modify the TCO surface to achieve the optimized interface energy level alignment, resulting in efficient electron-selective contact. A remarkable power conversion efficiency of 15.1% was achieved under AM 1.5G 100 mW·cm⁻² irradiation without the use of compact n-type metal oxide blocking layers.



KEYWORDS: electron-selective contact · perovskite solar cells · interface engineering

During the past decades, next-generation photovoltaic (PV) devices have attracted increasing interests around the world. Several promising candidates¹ have been extensively studied with the aim to improve power conversion efficiency (PCE), reduce device cost, and enhance device stability, etc. These candidates include organic bulk heterojunction solar cells, quantum dot solar cells, kesterite thin-film solar cells, and dye-sensitized solar cells (DSSCs), etc. Considerable progress has been made for these PV technologies, with remarkable device performance achieved.¹

Since 2009, a novel alternative PV technology, perovskite-based solar cells, has become a rapidly rising star for next-generation PV technologies.^{2–8} Evolving from DSSCs, a perovskite solar cell is organic–inorganic hybrid PV technology, which uses organometal halide perovskite compounds as light absorbers. Organometal halide perovskites, for example, CH₃NH₃PbI₃, were first used as visible-light sensitizers in liquid-electrolyte-based DSSCs in 2009,⁹ with a modest PCE of 3.8%. Significant performance boosts were then achieved by incorporating a perovskite active layer into a solid-state hybrid device architecture.^{10,11} The perovskite layer was sandwiched between a compact electron-selective

contact and an organic hole-selective contact,¹² resulting in perovskite solar cells with PCEs of ~10%. Further optimization of device fabrication processes has pushed the PCE up to ~15%.^{13–16} Most recently, a PCE of 17.9% has been reported and certified for perovskite solar cells.¹⁷ Moreover, it was also predicted that the PCE of perovskite solar cells could approach 20% in the next couple of years,^{2,3} indicating its great potential for future low-cost PV technology.

As mentioned above, in conventional perovskite solar cells, a compact n-type metal oxide film is always required on the transparent conducting oxide (TCO) substrate as a blocking layer for electron-selective contacts (ESCs). This thin blocking layer could prevent direct contact between the TCO and hole-transporting materials.¹⁸ The most commonly used compact n-type metal oxide is TiO₂, which is deposited through aerosol spray pyrolysis^{10,19} or spin-coating methods.^{20,21} High-temperature annealing is often required to achieve a relatively compact or dense structure. This is not compatible with a plastic substrate and unfavorable for low-cost fabrication processes.¹⁵ To avoid high-temperature processes for the compact ESCs, several approaches have been demonstrated with considerable device

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performance. For example, graphene was incorporated with the low-temperature processed TiO_2 nanoparticles,²² giving a nanocomposite electron collection layer in the perovskite solar cell. The superior charge collection induced by graphene in the nanocomposite could enable the device fabrication processes at temperatures no higher than 150 °C. Remarkable PV performance was achieved with a PCE of up to 15.6%. Crystalline anatase TiO_2 nanoparticles were also demonstrated to achieve compact ESCs through low-temperature processes (<150 °C).¹⁶ A maximum PCE of 15.9% was achieved under standard testing conditions. In addition to TiO_2 -based n-type materials, ZnO was recently shown to be a good candidate for ESCs in perovskite solar cells.^{15,23} ZnO is known to have superior electron mobility.²⁴ The solution-processed ZnO nanoparticles were found to form a relatively compact film without sintering steps. Perovskite solar cells utilizing ZnO compact film could reach a PCE close to 16% under standard simulated solar illumination.¹⁵

In addition to n-type metal oxide materials, efforts were also made to utilize other organic or inorganic materials for electron-selective contact, such as [6,6]-phenyl- C_{61} -butyric acid methyl (PC_{61}BM), poly[(9,9-bis(3'-(*N,N*-dimethylamino)propyl)-2,7-fluorene)-*alt*-2,7-(9,9-dioctylfluorene)] (PFN),²⁵ and CdS ,²⁶ etc; however, no remarkable device performance was reported based on these ESCs. In this work, a surface modification approach is demonstrated to achieve efficient ESCs in perovskite solar cells. Cesium carbonate solution was used to modify an indium–tin–oxide (ITO) surface, leading to the optimized interface energy level alignment between ITO and perovskite light absorber.

Results indicated that cesium salt solution treatment did not form a visible compact cesium salt layer on ITO; however, a remarkable PCE of 15.1% could still be achieved under AM 1.5G 100 $\text{mW}\cdot\text{cm}^{-2}$ irradiation as the result of interface energy level engineering. This provides an example of efficient perovskite solar cells without the deposition of a compact n-type metal oxide electron-selective layer. Furthermore, the results also reveal that interface engineering strategies could be effectively utilized to realize the efficient perovskite solar cells with the aim of achieving PCE exceeding 20%.^{2,3}

RESULTS AND DISCUSSION

Figure 1a shows the device configuration of the perovskite solar cells based on electron-selective contact engineering. The device fabrication was performed on the ITO substrate, which is suitable for low-temperature processes. Cs_2CO_3 solution was used to modify the surface of ITO. This surface treatment was repeated for varied times to ensure the desired surface modification. A perovskite active layer was deposited onto the Cs_2CO_3 -modified ITO substrate (referred to as ITO: Cs_2CO_3) through a sequential deposition process. Then, p-type 2,2',7,7'-tetrakis[*N,N*-di(4-methoxyphenyl)amino]-9,9'-spirobifluorene (Spiro-OMeTAD) was coated onto the perovskite active layer as a hole conductor. The device was finalized by depositing Au as top contact electrode. Figure 1b shows the cross-sectional image of a finished device. From the cross-sectional image, no additional blocking layer is clearly visible between the perovskite layer and the ITO substrate, indicating that the Cs_2CO_3 did not form a visible compact structure.

The Cs_2CO_3 -modified ITO surfaces were then investigated by scanning electron microscopy (SEM).

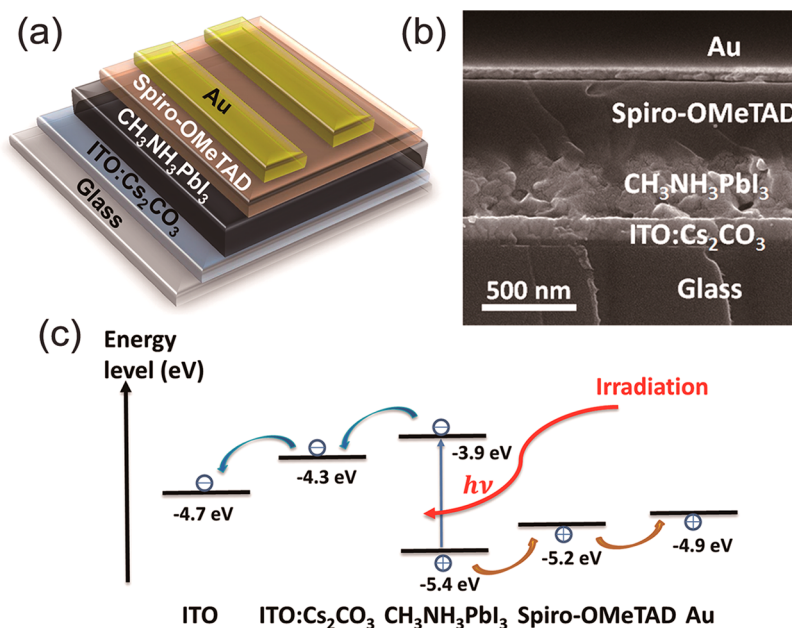


Figure 1. Device architecture. (a) Schematic of the device structure. (b) SEM cross-sectional image of a perovskite solar cell based on Cs_2CO_3 -modified ITO substrate. (c) Energy level diagram.

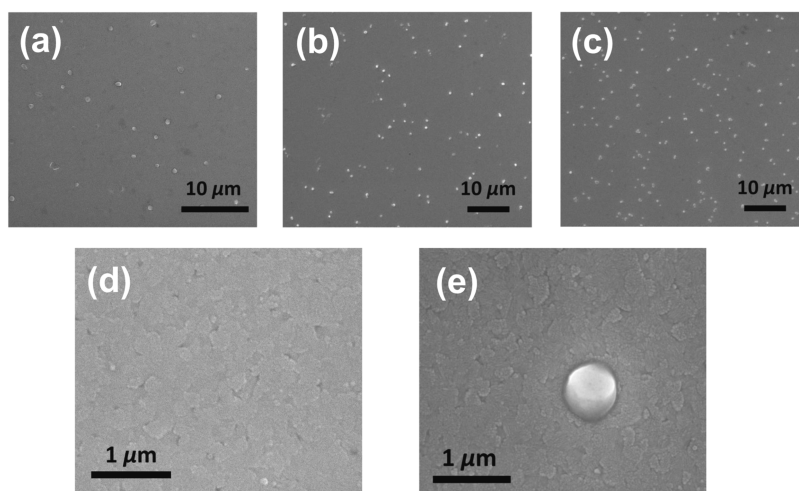


Figure 2. Scanning electron microscopy images for Cs_2CO_3 -modified ITO. ITO surfaces were modified by Cs_2CO_3 solution for (a) one, (b) two, and (c) three times. Enlarged SEM images compared the surface morphologies of pristine (d) ITO and (e) Cs_2CO_3 -modified ITO (3 times).

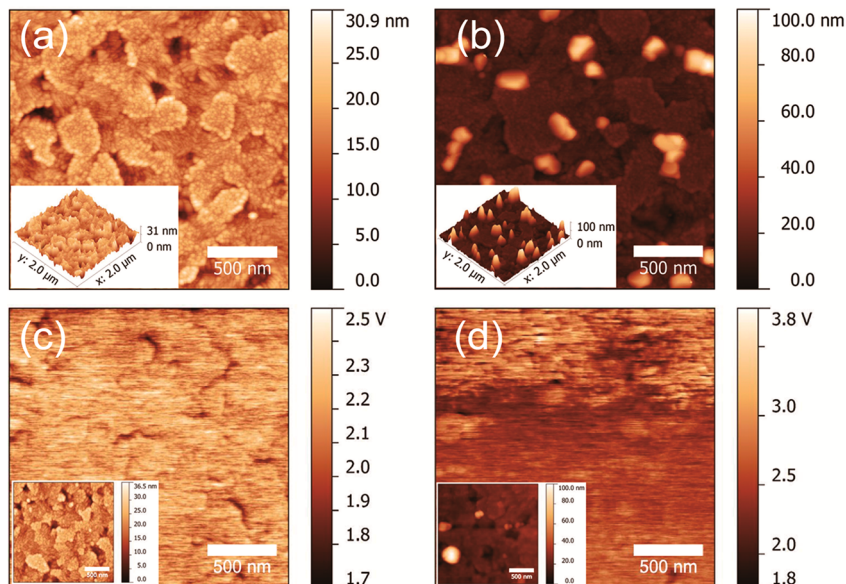


Figure 3. Atomic force microscopy images and Kelvin probe force microscopy images. ITO surface (a) before and (b) after Cs_2CO_3 treatment. Inset: Three-dimensional surface topographical images. Surface potential images of (c) ITO and (d) ITO: Cs_2CO_3 . Inset: Topography images.

Figure 2a–c compares the SEM images of ITO surfaces after being treated by Cs_2CO_3 solution one, two, and three times. According to the SEM images, Cs_2CO_3 salt precipitated and aggregated to form sub-microscale spots on the ITO surface after solvent evaporation. The density of Cs_2CO_3 spots increased along with the treatment times, forming discontinuous islands. Figure 2d,e compares the enlarged SEM images for the surfaces of pristine ITO or the ITO after three treatments. From Figure 2e, it can be observed that the surface morphology around a Cs_2CO_3 spot is similar to that of the pristine ITO surface. This confirms that the Cs_2CO_3 did not form a continuous compact film on the ITO surface. The surface morphologies were further investigated by atomic force microscopy (AFM).

Figure 3 shows the surface topographical images comparing the ITO surfaces before and after Cs_2CO_3 treatment. For the pristine ITO surface, the surface roughness values of the arithmetic average (R_a) and the root mean square (R_q) are 2.61 and 3.40 nm for the whole scanned area (Figure 3a). After Cs_2CO_3 treatment, the sub-microscale spots formed with heights ranging from 50 to 100 nm. However, R_a and R_q of the areas excluding Cs_2CO_3 spots were 2.61 and 3.65 nm (Figure 3b), which were similar to those of pristine ITO. These results were consistent with the SEM images in Figure 2.

In order to explore the best Cs_2CO_3 treatment conditions, varied coating times were performed on ITO. Photovoltaic performances of the perovskite solar

TABLE 1. Performance Summary of the Perovskite Solar Cells Based on Different Electron-Selective Contacts^a

electron-selective contact	V_{oc} (V)	J_{sc} ($\text{mA} \cdot \text{cm}^{-2}$)	FF	PCE (%)
pristine ITO	0.90	13.3	0.36	4.3
ITO:Cs ₂ CO ₃ (1 time)	0.96	17.8	0.57	9.7
ITO:Cs ₂ CO ₃ (2 times)	1.02	18.7	0.64	12.2
ITO:Cs ₂ CO ₃ (3 times)	1.05	19.1	0.72	14.4
ITO:Cs ₂ CO ₃ (4 times)	1.03	19.0	0.69	13.5
ZnO (sol-gel)	1.01	20.4	0.66	13.6
TiO ₂ (spray pyrolysis)	1.07	20.1	0.66	14.2

^a Device performance was measured under AM 1.5G 100 $\text{mW} \cdot \text{cm}^{-2}$ illumination.

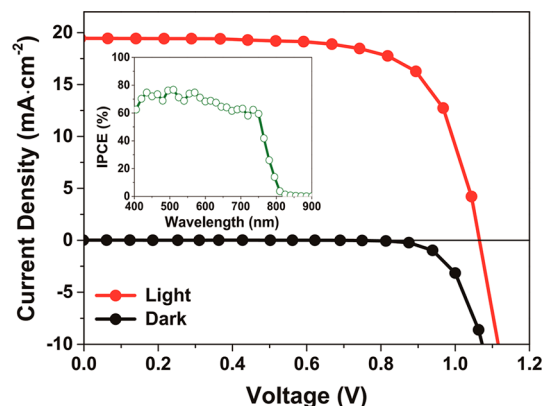


Figure 4. Photovoltaic performance characterization. The best performance achieved for the perovskite solar cells fabricated on the Cs₂CO₃-modified ITO substrates. Inset: IPCE spectrum for the same device.

cells based on these electron-selective contacts were investigated. Table 1 summarizes the device performance measured under simulated AM 1.5G 100 $\text{mW} \cdot \text{cm}^{-2}$ illumination. The device fabrication processes were well-controlled with only variations in ESCs. For the device without Cs₂CO₃ treatment, poor performance was obtained. The device performance became better as long as the Cs₂CO₃ treatment times increased. The best performance could be reached when ITO was treated by Cs₂CO₃ solution for three times. An open-circuit voltage (V_{oc}) of 1.05 V, short-circuit current density (J_{sc}) of 19.1 $\text{mA} \cdot \text{cm}^{-2}$, and fill factor (FF) of 0.72 were achieved, resulting in power conversion efficiency of 14.4%. Further increase in treating times did not show improvement in device performance. Based on the Cs₂CO₃-modified ITO substrates (3 times), the champion PCE of 15.1% was achieved, with a V_{oc} of 1.07 V, J_{sc} of 19.9 $\text{mA} \cdot \text{cm}^{-2}$, and FF of 0.71, as shown in Figure 4. Incident photon-to-current conversion efficiency (IPCE) spectrum was also obtained (inset of Figure 4). The J_{sc} calculated from the IPCE spectrum is 18.6 $\text{mA} \cdot \text{cm}^{-2}$, which agrees with the J_{sc} measured from J - V characterization under simulated 1 sun conditions. To confirm the reliability of the device fabrication processes, Table 1 also provides the performance of reference devices using ZnO or TiO₂ as ESCs. A comparable PCE of 13.6% was achieved for the ZnO-based

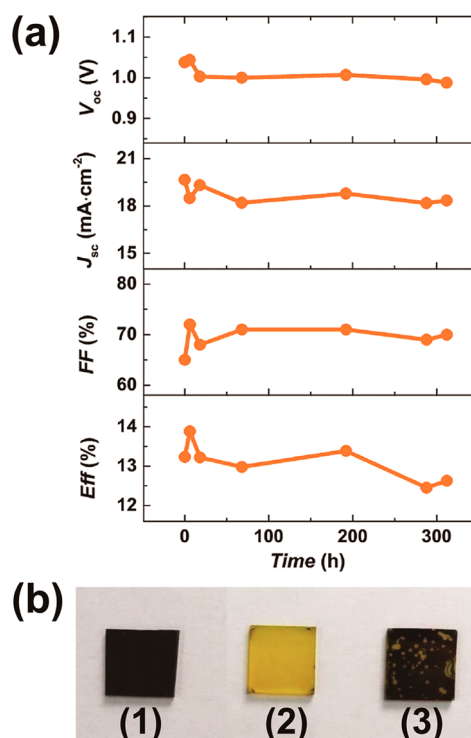


Figure 5. Stability test. (a) Photovoltaic performance stability of the devices using Cs₂CO₃-modified ITO as electron-selective contact. (b) Thermal stability test of the CH₃NH₃PbI₃ films deposited on the Cs₂CO₃-modified or ZnO-coated ITO substrates. The annealing conditions are (b1) ITO:Cs₂CO₃/CH₃NH₃PbI₃, 130 °C, 30 min; (b2) ITO:ZnO/CH₃NH₃PbI₃, 130 °C, 5 min; (b3) ITO:ZnO/CH₃NH₃PbI₃, 80 °C, 60 min.

devices.¹⁵ For devices using compact TiO₂ (deposited by spray pyrolysis on fluorine-doped tin oxide (FTO)),^{10,19} a PCE of 14.2% was attained, which is consistent with previous reports. The statistics of device performance characteristics for Cs₂CO₃-based devices are shown in Supporting Information Figure S2 to ensure the reproducibility of the device fabrication. These results indicated that Cs₂CO₃ treatment on the ITO substrate could achieve efficient electron-selective contact between a perovskite active layer and TCO without the compact n-type metal oxide blocking layers.

For the stability test, the unencapsulated devices were stored in air under ambient conditions (temperature ~ 20 °C, humidity $\sim 20\%$) and tested under AM 1.5G simulated 1 sun illumination. Figure 5a shows the stability test results. During the initial 12 h after device fabrication, device efficiency was improved by $\sim 7\%$ due to the increased FF. After 24 h storage, the efficiency decreased sharply because of the decreases in both V_{oc} and FF. For the next 100 h, the efficiency recovered slightly. Compared with the initial performance, the device only showed $\sim 4\%$ decrease in PCE after storage in air for 300 h. These results indicated that the devices based on Cs₂CO₃-modified ITO had similar stability as those using compact TiO₂.¹¹

In addition to the device stability, it was also found that electron-selective contact could affect the stability

of $\text{CH}_3\text{NH}_3\text{PbI}_3$ films coated on it. Figure 5b shows the images of $\text{CH}_3\text{NH}_3\text{PbI}_3$ films on Cs_2CO_3 -modified or ZnO-coated ITO after annealing treatment. The annealing treatments were performed in a N_2 -filled glovebox, and this could eliminate the influence of humidity on the $\text{CH}_3\text{NH}_3\text{PbI}_3$ film. The annealing experiment was first conducted at 130°C . The film on Cs_2CO_3 -modified ITO retained its dark color after 30 min annealing (Figure 5b1). In contrast, the film on ZnO-coated ITO changed color from dark to bright yellow after 5 min annealing (Figure 5b2). Yellow film color indicated the decomposition of $\text{CH}_3\text{NH}_3\text{PbI}_3$, leaving PbI_2 on the substrate.^{27–29} $\text{CH}_3\text{NH}_3\text{PbI}_3$ film on ZnO-coated ITO was further examined by annealing at 80°C . After 1 h annealing, yellow spots could be found in the film, as shown in Figure 5b3, indicating the decomposition of $\text{CH}_3\text{NH}_3\text{PbI}_3$. These results demonstrate that a ZnO-based electron-selective layer may accelerate the decomposition of $\text{CH}_3\text{NH}_3\text{PbI}_3$ film. In contrast, Cs_2CO_3 -modified ITO has less negative effect on the stability of $\text{CH}_3\text{NH}_3\text{PbI}_3$, which is similar to those based on TiO_2 electron-selective contacts. However, oxygen vacancies and UV-induced degradation in TiO_2 may also reduce the device's stability.³⁰

Interface or surface engineering is often utilized for performance optimization in electronic devices, such as organic solar cells,^{31,32} solid-state DSSCs,³³ etc. Some recent reports also demonstrated the importance of interfaces in perovskite-based solar cells.^{26,30,34,35} A compact electron-selective contact, such as TiO_2 or ZnO, is believed to be a necessary layer for highly efficient perovskite-based solar cells. In the present work, the efficient electron-selective contact was achieved by modifying the ITO surface with Cs_2CO_3 solution. Modification of ITO could tune the surface work function and establish better ohmic contacts between the active layer and ITO,^{36,37} leading to efficient device performance. Cesium-based salt materials are the candidates for ITO surface modification. Previous studies have demonstrated that Cs–O bonds could be generated on the ITO surface, which is

believed to be the reason for the tuning in the ITO work function.^{37,38} In this work, Kelvin probe force microscopy (KPFM) was employed to study the surface potential changes for ITO before or after Cs_2CO_3 treatment (see Figure 3). Results indicated that the surface work function of ITO was 0.4 eV closer to vacuum level after Cs_2CO_3 treatment (as shown in Figure 3c,d). The energy level of ITO, $\text{CH}_3\text{NH}_3\text{PbI}_3$, and Spiro-OMeTAD in Figure 1c is according to the reported literature.^{11,36} This could facilitate the electron collection efficiency from the perovskite layer to the TCO substrate. Different from the conventional compact metal oxide electron-selective contacts, Cs_2CO_3 treatment did not form a continuous and compact Cs-based layer; however, the modified ITO surface could realize the function of electron selection. In other words, ITO is tin-doped indium oxide, which is also a compact metal oxide film as the TiO_2 or ZnO. Tin doping in In_2O_3 provides the film with a metallic property. The Cs_2CO_3 treatment on the surface of ITO could tune the surface doping conditions, resulting in electron-selective and hole-blocking functions on the surface of the ITO substrate. Thus, the Cs_2CO_3 treatment of ITO could be understood as the forming of compact n-doped ITO in the surface of bulk ITO film, resulting in the improved ohmic contact between perovskite materials and an ITO conducting substrate.

CONCLUSIONS AND PROSPECTS

In summary, the ITO surface was modified to achieve the efficient electron-selective contacts for perovskite solar cells. This avoided the deposition of compact n-type metal oxide electron-selective layers. The whole device could be fabricated under mild conditions with relative low temperature and solution-based processes. Devices based on the modified ITO surface could achieve a power conversion efficiency exceeding 15%, together with improved device stability under specific conditions. These results imply that interface engineering provides a promising approach to simplify device configuration and reduce the fabrication cost for perovskite solar cells.

METHODS

Materials. All liquid reagents were purchased from Acros and used as received. Cs_2CO_3 and PbI_2 were purchased from Sigma-Aldrich. Spiro-OMeTAD was purchased from SunaTech. $\text{CH}_3\text{NH}_3\text{I}$ was synthesized by CH_3NH_2 with HI according to the reported procedure.^{10,11} ZnO nanoparticles were prepared according to literature procedures.¹⁵

Device Fabrication. The prepatterned ITO substrates ($1.5\text{ cm} \times 1.5\text{ cm}$, $15\ \Omega/\square$) were cleaned with a solution of detergent diluted in deionized water and then rinsed with deionized water, acetone, and 2-propanol. For the devices based on Cs_2CO_3 , a 0.5% solution of Cs_2CO_3 (Sigma-Aldrich, Reagent Plus, 99%) in 2-ethoxyethanol (Acros, extra pure, 99%) was spin-coated on the cleaned substrate at 3000 rpm for 30 s and then dried at 150°C for 1 min. This procedure could be repeated several times according to experiment requirements. The above procedure

was finished under ambient conditions (temperature $\sim 20^\circ\text{C}$, humidity $\sim 20\%$). A $460\text{ mg}\cdot\text{mL}^{-1}$ solution of PbI_2 in *N,N*-dimethylformamide was then spin-coated on the Cs_2CO_3 -modified substrate (2500 rpm, 15 s) in a N_2 glovebox. After being dried at 100°C for 10 min, the device was dipped into a $\text{CH}_3\text{NH}_3\text{I}$ solution ($10\text{ mg}\cdot\text{mL}^{-1}$ in 2-propanol) for 30 s, followed by heating at 130°C for 30 min in a N_2 glovebox. Subsequently, the Spiro-OMeTAD-based hole-transporting layer (80 mg of Spiro-OMeTAD, $17.5\ \mu\text{L}$ of lithium bis(trifluoromethanesulfonyl)imide (Li-TFSI) solution (520 mg of Li-TFSI in 1 mL of acetonitrile), and $28.5\ \mu\text{L}$ of 4-*tert*-butylpyridine all dissolved in 1 mL of chlorobenzene) was deposited by spin-coating at 2000 rpm for 30 s in a N_2 glovebox. Finally, the device was completed by depositing a gold electrode (80 nm) through thermal evaporation. For the devices using ZnO on ITO or compact TiO_2 on ITO, the fabrication processes followed the reported methods.^{10,15}

Device Characterization. The current–voltage curves were measured using a Keithley 2400 source–measure unit. The cells (active area: 8.5 mm^{-2}) were illuminated by a 150 W class AAA solar simulator (XES-4051, SAN-EI) equipped with an AM 1.5G filter at a calibrated intensity of $100 \text{ mW} \cdot \text{cm}^{-2}$. Light intensity was determined by a standard monocrystal silicon photodiode calibrated by the Newport TAC-PV lab. The IPCE spectra were measured in air using a lock-in amplifier coupled with a monochromator (Crowntech, Qtest Station 2000).¹⁵ The light intensity was calibrated by using a standard single-crystal Si photovoltaic cell. All measurements were performed in air at room temperature on the devices without encapsulation (temperature $\sim 20 \text{ }^\circ\text{C}$, humidity $\sim 20\%$).

Other Characterizations. The SEM images were collected by an FEI Nova NanoSEM 430 field-emission SEM. The AFM images were collected by an Agilent 5500 SPM system (Agilent Technologies, USA). The KPFM measurements were also carried out on the Agilent 5500 SPM system.

Conflict of Interest: The authors declare no competing financial interest.

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Supporting Information Available: Additional SEM images, device performance statistics, pictures of the process of Cs_2CO_3 -based device fabrication, and photovoltaic performance characterization under different scan conditions. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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